

## Ch. 11: Normal families.

Remember the chordal metric we defined before (in  $\hat{\mathbb{C}}$ ) via the stereographic projection  $\pi: S^2 \rightarrow \hat{\mathbb{C}}$ ,

$$\pi(x_1, x_2, x_3) = \begin{cases} \frac{x_1 + ix_2}{1 - x_3}, & x_3 \neq 1 \\ \infty, & x_3 = 1. \end{cases}$$

or rather, its inverse

$$\pi^{-1}: \hat{\mathbb{C}} \rightarrow S^2:$$
$$\pi^{-1}(z) = \begin{cases} \left( \frac{2\operatorname{Re}z}{1+|z|^2}, \frac{2\operatorname{Im}z}{1+|z|^2}, \frac{|z|^2-1}{1+|z|^2} \right), & z \in \mathbb{C} \\ (0, 0, 1), & z = \infty \end{cases}$$

In this way, for  $z_1, z_2 \in \hat{\mathbb{C}}$ ,

$$\chi(z_1, z_2) := d_e(\pi^{-1}(z_1), \pi^{-1}(z_2))$$

$$= \begin{cases} \frac{2|z_1 - z_2|}{\sqrt{(1+|z_1|^2)(1+|z_2|^2)}}, & z_1, z_2 \neq \infty \\ \frac{2}{\sqrt{1+|z_1|^2}}, & z_2 = \infty, z_1 \neq \infty. \end{cases}$$

⊙ If  $z_1 = z_2 = \infty$ ,  $X(z_1, z_2) = 0$ .

If  $z_1 \neq \infty$ ,  $z_2 = \infty$ ,

$$X(z_1, z_2) = \left| \left( \frac{2\operatorname{Re}z_1}{1+|z_1|^2}, \frac{2\operatorname{Im}z_1}{1+|z_1|^2}, \frac{|z_1|^2-1}{1+|z_1|^2} \right) - (0, 0, 1) \right|$$

$$= \sqrt{\frac{4(\operatorname{Re}z_1)^2 + 4(\operatorname{Im}z_1)^2}{(1+|z_1|^2)^2} + \frac{(4)}{(1+|z_1|^2)^2}}$$

$$= 2 \sqrt{\frac{1+|z_1|^2}{(1+|z_1|^2)^2}} = \frac{2}{\sqrt{1+|z_1|^2}}$$

If  $z_1, z_2 \neq \infty$ ,

$$X(z_1, z_2) = \left| \left( \frac{2\operatorname{Re}z_1}{1+|z_1|^2} - \frac{2\operatorname{Re}z_2}{1+|z_2|^2}, \frac{2\operatorname{Im}z_1}{1+|z_1|^2} - \frac{2\operatorname{Im}z_2}{1+|z_2|^2}, \frac{|z_1|^2-1}{1+|z_1|^2} - \frac{|z_2|^2-1}{1+|z_2|^2} \right) \right|$$

$$= \sqrt{\frac{4(\operatorname{Re}z_1)^2}{(1+|z_1|^2)^2} + \frac{4(\operatorname{Im}z_1)^2}{(1+|z_1|^2)^2} + \frac{(1+|z_1|^4 - 2|z_1|^2)}{(1+|z_1|^2)^2} - \frac{8\operatorname{Re}z_1\operatorname{Re}z_2 + 8\operatorname{Im}z_1\operatorname{Im}z_2}{(1+|z_1|^2)(1+|z_2|^2)} - \frac{2(|z_1|^2-1)(|z_2|^2-1)}{(1+|z_1|^2)(1+|z_2|^2)}} + \text{same factor with } z_2!$$

$$= \sqrt{2 - \frac{2(|z_1|^2-1)(|z_2|^2-1)}{(1+|z_1|^2)(1+|z_2|^2)} - \frac{8\operatorname{Re}z_1\operatorname{Re}z_2 + 8\operatorname{Im}z_1\operatorname{Im}z_2}{(1+|z_1|^2)(1+|z_2|^2)}}$$

$$= \frac{2|z_1 - z_2|}{\sqrt{(1+|z_1|^2)(1+|z_2|^2)}}$$

As a consequence, we have:

Corollary:  $\forall z_1, z_2 \in \mathbb{C}$ ,

- (i)  $\chi(z_1, z_2) \leq 2$ .
- (ii)  $\chi\left(\frac{1}{z_1}, \frac{1}{z_2}\right) = \chi(z_1, z_2)$
- (iii)  $|z_1| \leq |z_2| < \infty \Rightarrow \chi(0, z_1) \leq \chi(0, z_2)$ .
- (iv)  $\chi(z_1, z_2) \leq 2|z_1 - z_2|$ .

Remark, - It is clear that  $\chi(z_1, z_2) \geq 0$   
 $\forall z_1, z_2 \in \mathbb{C}$ , and  $=$  iff  $z_1 = z_2$ .

Also, that  $\chi(z_1, z_2) = \chi(z_2, z_1)$ .

To obtain that  $\chi$  satisfies the triangle inequality (and hence deduce that  $\chi$  is a distance), we just use that the Euclidean distance in  $\mathbb{R}^3$  satisfies it, and that the stereographic projection is a

homeomorphism:

$$\begin{aligned} \chi(z_1, z_3) &= |\pi^{-1}(z_1) - \pi^{-1}(z_3)| \leq |\pi^{-1}(z_1) - \pi^{-1}(z_2)| \\ &+ |\pi^{-1}(z_2) - \pi^{-1}(z_3)| = \chi(z_1, z_2) + \chi(z_2, z_3). \end{aligned}$$

Define the arc-length element  $ds$  on

$$S^2 \approx \hat{\mathbb{C}}. \quad ds = \frac{2|dz|}{1+|z|^2} = \frac{2(dx)^2 + 2(dy)^2}{1+|z|^2}$$

$$\left[ \lim_{w \rightarrow z} \frac{\chi(w, z)}{|w-z|} = \frac{2}{1+|z|^2} \right]$$

the corresponding spherical area element is

$$dA = \frac{4dx dy}{(1+|z|^2)^2}$$

Given a curve  $\gamma \subset S^2$ , its spherical

length is:

$$L(\gamma) = \int_{\gamma} \frac{2|dz|}{1+|z|^2} = \int_{t_1}^{t_2} \frac{2|\gamma'(t)| dt}{1+|\gamma(t)|^2}$$

$$\gamma = \gamma(t), t \in [t_1, t_2] \equiv z = \gamma(t)$$

Using this definition, we can still define the spherical metric on  $S^2$  by

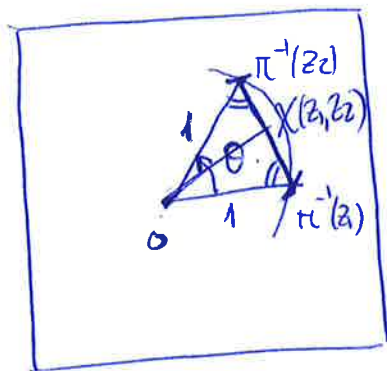
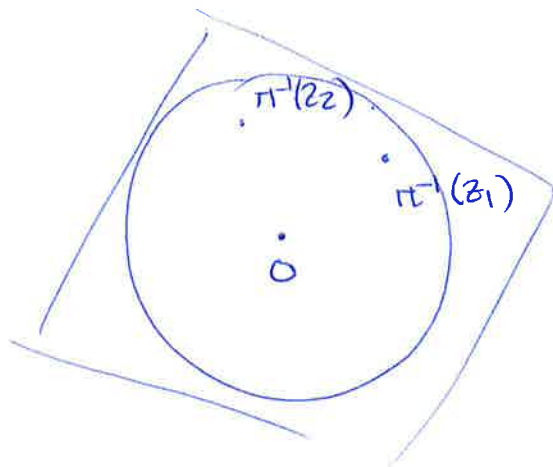
$$\sigma(z_1, z_2) = \inf L(\gamma),$$

where  $\gamma$  is a curve on  $S^2$  that joins  $z_1$  and  $z_2$ .

$$\text{Notice that } \chi(z_1, z_2) \leq \sigma(z_1, z_2)$$

But moreover, we also have

$$\sigma(z_1, z_2) \leq \frac{\pi}{2} \chi(z_1, z_2)$$



Hence  $\chi(z_1, z_2) = |\sin \theta|$  &  $\sigma(z_1, z_2) = \theta$ .

Therefore,  $\frac{\sigma(z_1, z_2)}{\chi(z_1, z_2)} = \frac{|\theta|}{2|\sin \frac{\theta}{2}|} \leq \frac{\pi}{2}$   $\square$

Hence, both metrics induce the same topology on  $S^2$ .

DEF:- A sequence  $\{f_n\}$  of functions  $f_n: \mathbb{C} \rightarrow \hat{\mathbb{C}}$  converges spherically uniformly to a function  $f: \mathbb{C} \rightarrow \hat{\mathbb{C}}$  on a set  $E \subset \mathbb{C}$  if  $\forall \epsilon > 0$ ,  $\exists n = n(\epsilon) : \text{if } n \geq n(\epsilon)$

$$\chi(f(z), f_n(z)) < \epsilon \quad \forall z \in E$$

$\star\star$  Antti's remark! the ratio is bigger than  $\theta \rightarrow \pi$ !!!!  
 and at  $\pi$ ,  $\frac{\sigma(z_1, z_2)}{\chi(z_1, z_2)} = \frac{\pi}{2}$ !

$\odot$   $\sin x \geq \frac{2x}{\pi} \quad \forall x \in (0, \frac{\pi}{2}]$ ;  $f(x) = \frac{\pi}{2} \sin x - x$ .

$f'(x) = \frac{\pi}{2} \cos x - 1 = 0$ ,  $\cos x = \frac{2}{\pi}$ ,  $x_0 = \arccos \frac{2}{\pi}$  maximum!

&  $f(\frac{\pi}{2}) \neq 0$ ,  $\lim_{x \rightarrow 0} f(x) = 0$ .  $\therefore f(x) \geq 0$ ! minimum!

Remark - the (Euclidean) uniform convergence on  $E$  implies spherical uniform convergence, since  $\chi(z_1, z_2) \leq 2|z_1, z_2|$ .

Theorem - If a sequence  $\{f_n\}$  of functions  $f_n: \mathbb{C} \rightarrow \hat{\mathbb{C}}$  converges spherically uniformly to a bounded function  $f: \mathbb{C} \rightarrow \hat{\mathbb{C}}$  on  $E$ , then  $f_n$  converges uniformly (in the Euclidean sense) to  $f$  on  $E$ .

Pf. - Assume  $|f(z)| \leq M$  on  $E$ . then

$$\chi(0, f(z)) \leq \chi(0, M) = \frac{2M}{\sqrt{1+M^2}} < 2.$$

Let  $\varepsilon < 1 - \frac{M}{\sqrt{1+M^2}}$ , and let  $n_\varepsilon$  be

such that

$$\chi(f(z), f_n(z)) < 2\varepsilon, \quad n \geq n_\varepsilon, \quad z \in E.$$

then.

$$\frac{2|f_n(z)|}{\sqrt{1+|f_n(z)|^2}} = \chi(0, f_n(z)) \leq \chi(0, f(z)) + \chi(f(z), f_n(z)) < 2 \left( \frac{M}{\sqrt{1+M^2}} + \varepsilon \right) := 2m < 2.$$

And hence,  $|f_n(z)| < \frac{m}{\sqrt{1-m^2}} := M_1$ ,  $n \geq n_\varepsilon$   
and  $z \in E$ .

therefore,  $\forall z \in E$ ,

$$|f(z) - f_n(z)| = \sqrt{1+|f(z)|^2} \sqrt{1+|f_n(z)|^2} \frac{1}{2} \chi(f(z), f_n(z)) \\ \leq \sqrt{1+M^2} \sqrt{1+M_1^2} \frac{1}{2} \chi(f(z), f_n(z)) \quad \square.$$

DEF. - A function  $f: \mathbb{C} \rightarrow \hat{\mathbb{C}}$  is spherically continuous at  $z_0 \in \mathbb{C}$  if  $\forall \varepsilon > 0$ ,  $\exists \delta > 0$ :

$$\chi(f(z), f(z_0)) < \varepsilon, \quad |z - z_0| < \delta.$$

Proposition. - If  $f: \mathbb{C} \rightarrow \hat{\mathbb{C}}$  is meromorphic in  $\Omega \subset \mathbb{C}$ , then  $f$  is spherically continuous in  $\Omega$ .

PP. - If  $f$  is analytic at  $z_0 \in \Omega$ , then the result holds  $(\chi(f(z), f(z_0)) \leq 2|f(z) - f(z_0)|)$

If  $z_0$  is a pole of  $f$ , then  $\frac{1}{f}$  is continuous at  $z_0$ , and we again get the

result since  $\chi(f(z), f(z_0)) = \chi\left(\frac{1}{f(z)}, \frac{1}{f(z_0)}\right) \leq 2 \left| \frac{1}{f(z)} - \frac{1}{f(z_0)} \right|$   
 $\square$

DEF. - Let  $f$  be meromorphic in a domain  $\Omega \subset \mathbb{C}$ .

Suppose  $f(z) \in \mathbb{C}$ .

$$\begin{aligned} f^\#(z) &:= \lim_{w \rightarrow z} \frac{\chi(f(z), f(w))}{|z-w|} \\ &= \lim_{w \rightarrow z} \frac{2|f(z) - f(w)|}{|z-w|} \cdot \frac{1}{\sqrt{|1+f(z)|^2}} \cdot \frac{1}{\sqrt{|1+f(w)|^2}} \\ &= 2 \cdot \frac{|f'(z)|}{|1+f(z)|^2}. \end{aligned}$$

If  $z$  is a pole of  $f$ ,

$$f^\#(z) = \lim_{z' \rightarrow z} \frac{|f'(z')|}{|1+f(z')|^2}$$

RK. - Obvious that  $f^\#$  is continuous in  $\mathbb{C}$ . Also,

$$\left(\frac{1}{f}\right)^\# = f^\#.$$



DEF - Let  $f_n: \Omega \rightarrow \mathbb{C}$  be a sequence of functions defined on a domain  $\Omega \subset \mathbb{C}$ .

The sequence converges uniformly on compact subsets of  $\Omega$  to a function  $f: \Omega \rightarrow \mathbb{C}$  if for any compact set  $K \subset \Omega$  and any  $\varepsilon > 0$ ,

$\exists N = N(K, \varepsilon) : \text{if } n \geq N,$

$$|f_n(z) - f(z)| < \varepsilon \quad \forall z \in K.$$

For a sequence  $f_n: \Omega \rightarrow \hat{\mathbb{C}}$ , we say that the sequence converges spherically uniformly on compact subsets of  $\Omega$  to a function  $f: \Omega \rightarrow \hat{\mathbb{C}}$  if  $\forall K \subset \subset \Omega$  and any  $\varepsilon > 0$ ,

$\exists N = N(K, \varepsilon) :$

$$\chi(f_n(z), f(z)) < \varepsilon \quad \forall z \in K.$$

DEF - A family  $\mathcal{F}$  of functions  $f: \Omega \rightarrow \mathbb{C}$  is locally bounded on a domain  $\Omega$  if for each  $z_0 \in \Omega$ ,  $\exists M = M(z_0)$ ,  $0 \leq M < \infty$ , and a disk  $D(z_0, r) \subset \Omega : |f(z)| \leq M \quad \forall z \in D(z_0, r)$  and all  $f \in \mathcal{F}$ .

Example.  $\mathcal{F} = \{f_\alpha(z) = \frac{1}{z - e^{i\alpha}}, \alpha \in \mathbb{R}\}$ .

$\Omega = \mathbb{D}$ .

→ Uniformly bounded?

$\exists M: |f_\alpha(z)| \leq M \quad \forall \alpha \in \mathbb{R}, \forall z \in \mathbb{D}?$

NO:  $\lim_{z \rightarrow e^{i\alpha}} |f_\alpha(z)| = \infty$ . (take  $z = re^{i\alpha} \in \mathbb{D}$ ).

$\therefore$  It is not uniformly bounded.

→ Locally bounded?

$$|z| \leq R < 1 \Rightarrow |f_\alpha(z)| = \frac{1}{|e^{i\alpha} - z|} \leq \frac{1}{1 - |z|}$$

$$\leq \frac{1}{1 - R}$$

So that for any compact set in  $\mathbb{D}$  of the form  $|z| \leq R$ ,  $|f_\alpha(z)| \leq \frac{1}{1 - R}$ .

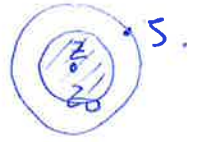
But any KCC  $\mathbb{D}$  is contained in  $|z| \leq R$  for some  $R$ . Hence, the family is locally bounded.

Theorem - If  $\mathcal{F}$  is a locally bounded family of analytic functions in a domain  $\Omega \subset \mathbb{C}$  then  $\mathcal{F}' = \{f'/f \in \mathcal{F}\}$  is locally bounded

Pf. - Let  $z_0 \in \Omega$  be arbitrary. Then,  $\exists M$  :  
 $|f(z)| \leq M \quad \forall f \in \mathcal{F} \text{ \& } \forall z \in \overline{D}(z_0, r)$ .

Choose  $z \in D(z_0, \frac{r}{2})$ .

$$|f'(z)| \leq \frac{1}{2\pi} \int \frac{|f(s)| |ds|}{|s-z|^2}$$



$$|s-z|^2 \geq \left(\frac{r}{2}\right)^2$$

$$|s-z_0| = r$$

$$\leq \frac{M}{2\pi} \cdot 2\pi \cdot \frac{r}{2} \cdot \frac{4}{r^2} = \frac{4M}{r}$$

$\forall f' \in \mathcal{F}'$ .

that is,  $\forall z_0, \exists D(z_0, \frac{r}{2}) : |f'(z)| \leq \frac{4M}{r}$ .

$\forall f' \in \mathcal{F}'$ , so that  $\mathcal{F}'$  is locally bounded  $\square$ .

Remark -  $\mathcal{F} = \{n : n \in \mathbb{N}\}$  is not locally bounded.

$\left[ \exists f_n \in \mathcal{F} : f_n(z_0) \xrightarrow{n \rightarrow \infty} \infty \right]$ . But  $\mathcal{F}' = \{0\}$  is.

So that  $\mathcal{F}'$  locally bounded  $\neq \mathcal{F}$  is.

Theorem - Let  $\mathcal{F}$  be a family of analytic functions on a domain  $\Omega \subset \mathbb{C}$  such

that

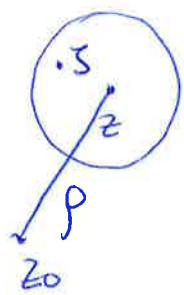
(i)  $\mathcal{F}'$  is locally bounded

(ii)  $\exists z_0 \in \Omega : |f(z_0)| \leq M \quad \forall f \in \mathcal{F}$ .

then  $\mathcal{F}$  is locally bounded. if  $\Omega$  is starlike with respect to  $z_0$ .

Proof:- Let  $z \in \Omega$  be again, arbitrary.

Consider a neighborhood  $D(z, r)$



Let  $\rho = |z - z_0|$ .

If  $f \in \mathcal{F}$  and  $s \in D(z, r)$ , then integrating from  $z_0$  to  $s$  along the

path  $\gamma$  consisting on the line segments  $[z_0, z]$  and  $[z, s]$  gives

$$|f(s)| \leq |f(z_0)| + \int_{z_0}^z |f'(w)| |dw| + \int_z^s |f'(w)| |dw|.$$

$$\leq M + \left[ \sup_{w \in \gamma} |f'(w)| \right] \cdot (\rho + r) \leq M + M_1 (R+r).$$

$< \infty$ , since  $\gamma$  is a compact set and  $f'$  is continuous.

DEF:- A family  $\mathcal{F}$  of analytic functions on a domain  $\Omega \subset \mathbb{C}$  is normal in  $\Omega$ .

if every sequence of functions  $\{f_n\} \subset \mathcal{F}$  contains either a subsequence converging to an analytic limit function  $f$  uniformly on each  $K \subset \subset \Omega$ , or a subsequence converging to  $\infty$  uniformly on each such  $K$ .

The following theorem shows that it suffices to consider the property of normality locally. More precisely, we say that  $\mathcal{F}$  is normal at  $z_0 \in \Omega$  if it is normal in some (open) neighborhood of  $z_0$ .

Theorem. A family  $\mathcal{F}$  of analytic functions is normal in a domain  $\Omega$  if and only if  $\mathcal{F}$  is normal at each point in  $\Omega$ .

Pf. - Normal  $\Rightarrow$  normal at each point.  $\checkmark$

$\Leftarrow$ . Suppose  $\mathcal{F}$  is normal at each  $z \in \Omega$ .

Choose a countable set dense in  $\Omega$ .

For instance, all  $z_n = x_n + iy_n$  with  $x_n, y_n \in \mathbb{Q}$  in

$\Omega$ .

Let  $D(z_n, r_n)$  be the largest disk centered at each  $z_n$  in which  $\mathcal{F}$  is normal.

It is obvious (since  $\{z_n\}$  is dense in  $\Omega$ ),

that  $\bigcup_{n=1}^{\infty} D(z_n, \frac{r_n}{2}) = \Omega$ .

Let  $\{f_n\} \subset \mathcal{F}$ .

By normality at  $z_1$ ,  $\exists (f_{n_k}^{(1)}) \subset (f_n)$  which converges uniformly in  $D(z_1, \frac{r_1}{2})$  either to an analytic function or to  $\infty$ .

the sequence  $(f_{n_k}^{(2)})$  has a subsequence that converges uniformly in  $D(z_1, \frac{r_1}{2}) \cup D(z_2, \frac{r_2}{2})$

Repeat this process and consider the diagonal sequence

$$\{f_{n_1}^{(1)}, f_{n_2}^{(2)}, f_{n_3}^{(3)}, \dots\}$$

which converges in  $D(z_n, \frac{r_n}{2})$ ,  $n=1, 2, \dots$ , in each disk separately to an analytic fcn. or  $\infty$ .

But then,

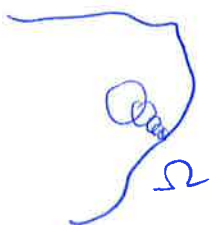
$$\Omega = \left[ \bigcup_{\rightarrow \infty} D(z_n, \frac{r_n}{2}) \right] \overset{o}{\cup} \left[ \bigcup_{\rightarrow \text{analy.}} D(z_n, \frac{r_n}{2}) \right]$$

Recall  $f_n \xrightarrow[k \subset \subset \Omega]{} f$ ,  $f_n$  analytic, then  $f$  analytic!

then,  $\Omega =$  one of these sets.

Now, it might happen that  $r_n \rightarrow 0$ .

But, since we just need a finite number of such disks to cover any  $K \subset \subset \Omega$ ,



we see that the convergence is uniform in any such  $K$ .  $\square$

Example -  $\mathcal{F} = \{f_n(z) = nz, n \in \mathbb{N}\}$ .

$$f_n(0) \equiv 0 \quad \text{and} \quad f_n(z) \xrightarrow[n \rightarrow \infty]{} \infty.$$

Hence, if  $\Omega$  is a domain which does not contain  $z=0$ ,  $\mathcal{F}$  is normal in  $\Omega$ .

But if  $0 \in \Omega$ ,  $\mathcal{F}$  is not normal at  $z=0$ . that is,  $\mathcal{F}$  is not normal in any such domain.

DEF. - A family of functions  $\mathcal{F}$  on a domain  $\Omega \subset \mathbb{C}$  is equicontinuous at  $z_0 \in \Omega$

if  $\forall \epsilon > 0, \exists \delta = \delta(\epsilon, z_0) :$

$$|f(z) - f(z_0)| < \epsilon \quad \forall f \in \mathcal{F},$$

$$\& |z - z_0| < \delta.$$

DEF. - Such family of functions is spherically equicontinuous at  $z_0 \in \Omega$  if  $\forall \epsilon > 0,$

$\exists \delta = \delta(\epsilon, z_0) :$

$$\chi(f(z), f(z_0)) < \epsilon \quad \forall f \in \mathcal{F},$$

$$\text{and } |z - z_0| < \delta.$$

the family is equicontinuous (resp. spherically equicontinuous) on a subset  $E \subset \Omega$  if it is at each point  $z_0 \in E$ .

Remark - Notice that if  $E$  is compact, equicontinuity = uniformly continuous.  
( $E$  can be covered by a finite number of disks).

Same with respect to spherically equicontinuity.

Also, since  $\chi(z, w) \leq 2|z-w|$ , we have that equicontinuity  $\Rightarrow$  spherical equicontinuity.

Proposition. Let  $(f_n)$  be a sequence of (spherically) continuous functions converging ( $\xrightarrow{\chi}$ ) uniformly to a function  $f$  on a compact subset  $E \subset \mathbb{C}$ .

then  $f$  is uniformly (spherically) continuous on  $E$  and  $(f_n)$  form a (spherically) equicontinuous family of functions in  $E$ .

Pf. - (for spherical metric only).

$$f_n \xrightarrow{\chi} f \equiv \forall \epsilon > 0, \exists n_0 \in \mathbb{N}:$$

$$\chi(f_n(z), f(z)) < \frac{\epsilon}{3} \quad \forall z \in E,$$

if  $n \geq n_0$ .



$f_{n_0}$  is spherically continuous on  $E$ . Hence,

$\exists \delta = \delta(\varepsilon, E) > 0$ :

$$\chi(f_{n_0}(z), f_{n_0}(z')) < \frac{\varepsilon}{3}$$

$\forall z, z' \in E: |z - z'| < \delta$ .

then,  $\chi(f(z), f(z')) \leq \chi(f(z), f_{n_0}(z))$   
 $+ \chi(f_{n_0}(z), f_{n_0}(z')) + \chi(f_{n_0}(z'), f(z')) < \varepsilon$

if  $|z - z'| < \delta$ .

thus,  $f$  is uniformly spherically continuous.

Moreover,

$$\chi(f_n(z), f_n(z')) \leq \chi(f_n(z), f(z)) + \chi(f(z), f(z'))$$
$$+ \chi(f(z'), f_n(z')) < 3\varepsilon,$$

$|z - z'| < \delta, n \geq n_0$ .

$n < n_0$ ,  $f_n$  is a continuous function on  $E$ .

$$\Rightarrow \chi(f_n(z), f_n(z')) < 3\varepsilon, |z - z'| < \delta_n.$$

Choose  $\Delta = \min \{ \delta_n, \delta \}$ . □

Proposition. - A locally bounded family of analytic functions on a domain  $\Omega$  is equicontinuous on compact subsets of  $\Omega$ .

Pf. - Since  $\mathcal{F}$  is locally bounded, then  $\mathcal{F}'$  is locally bounded, hence uniformly bounded on compact subsets of  $\Omega$ .

Take now a closed disk  $K \subset \Omega$  and  $M < \infty$ :  $|f'(z)| \leq M \quad \forall z \in K \text{ \& \& } \forall f \in \mathcal{F}$ .

Given  $\epsilon > 0$  and  $z, z' \in K$ :  $|z - z'| < \frac{\epsilon}{M}$ , we get

$$|f(z) - f(z')| \leq \int_{[z, z']} |f'(s)| |ds| \leq M |z - z'| = \epsilon.$$

Hence,  $f$  is equicontinuous on the compact disk  $K$ .

Now, choose any compact  $K \subset \Omega$  and cover the compact with open disks.

Extract a finite subsequence s.t.  $K \subset \bigcup_{i=1}^N D(z_i, r_i)$  and apply the previous arguments to each of the  $\overline{D(z_i, r_i)}$

this gives you  $|f'(z)| \leq M_i, i=1, \dots, N.$

&  $\forall z, z' \in D_i$ , say,  $|z-z'| < \frac{\epsilon}{M_i},$

$$|f(z) - f(z')| \leq \epsilon.$$

Let now  $M = \max_{1 \leq i \leq N} M_i$ . If  $|z-z'| < \frac{\epsilon}{MN}, z, z' \in K,$

then  $|f(z) - f(z')| \leq \epsilon$  (Use the triangle inequality, if needed)  $\square$ .

Remark -  $\mathcal{F} = \{z+n : n \in \mathbb{N}\}$  is an example of a family of analytic equicontinuous functions in  $\mathbb{D}$  which is not locally bounded.

Montel's theorem - Let  $\mathcal{F}$  be a locally bounded family of analytic functions on a domain  $\Omega \subset \mathbb{C}$ . Then  $\mathcal{F}$  is normal in  $\Omega$ .

PP - Take, as before, a countable dense subset  $\{z_n\}$  in  $\Omega$ .

Take a sequence  $(f_n) \subset \mathcal{F}$  and consider the sequence  $\{f_n(z_n)\}_{n \in \mathbb{N}}$

$\mathcal{F}$  is locally bounded so that  $\exists M :$   
 $|f_n(z)| \leq M \quad \forall n \in \mathbb{N}.$

Hence,  $\exists \{n_k\} \subset \mathbb{N} :$

$f_{n_1}^{(1)}(z_1), f_{n_2}^{(1)}(z_1), \dots$  converges.

Consider the sequence  $\{f_{n_k}^{(1)}(z_2)\}$  and apply the same argument to get

$f_{n_1}^{(2)}(z_2), f_{n_2}^{(2)}(z_2), \dots$

that converges for  $z_1$  and  $z_2$ .

By repeating this process, we get subsequences  $\{f_{n_k}^{(p)}\}$  that converge at  $z_1, z_2, \dots, z_p$ .

the diagonal sequence  $\{f_{n_k}^{(k)}\}$  converges, then, at every  $z_n$ . Let's rename this diagonal sequence as  $\{g_k\}$ .

Consider  $K \subset \subset \Omega$  and  $\epsilon > 0$ .

Being  $\mathcal{F}$  locally bounded, it is equicontinuous on  $K$ . therefore,  $\exists \delta$ :

$$|g_n(z) - g_n(z')| < \frac{\epsilon}{3} \quad \forall n \in \mathbb{N}, |z - z'| < \delta,$$

$z, z' \in K$ .

But  $K \subset \bigcup_{j=1}^N D(z_j, \delta)$  and  $g_n(z_j)$  converges

for all such  $j$ . Hence,  $\exists n_0 \in \mathbb{N}$ :

$$|g_n(z_j) - g_m(z_j)| < \frac{\epsilon}{3}, \quad n, m \geq n_0.$$

for all  $j$ .

Now, given  $z \in K$ ,  $\exists j: z \in D(z_j, \delta)$

We then have for  $n, m \geq n_0$ ,

$$|g_n(z) - g_m(z)| \leq |g_n(z) - g_n(z_j)| + |g_n(z_j) - g_m(z_j)| + |g_m(z_j) - g_m(z)| < \epsilon. \quad \square$$

Theorem - Let  $\mathcal{F}$  be a family of analytic functions in a domain  $\Omega \subset \mathbb{C}$ .

Suppose that  $\mathcal{F}'$  is normal and  $\exists z_0 \in \Omega$ :

$\{f(z_0) \mid f \in \mathcal{F}\}$  is bounded.

then  $\mathcal{F}$  is normal.

Pf. -  $\Omega = D(z_0, R)$ : Let  $(f_n) \subset \mathcal{F}$ . then  $\exists f_{n_k}: f_{n_k}'$  converges uniformly on  $K \subset \subset \Omega$ . Also,  $\{f_{n_k}(z_0)\}$  is bounded so that, passing to a subsequence if needed, we have

$$f_{n_k}' \xrightarrow{K} g, \quad f_{n_k}(z_0) \rightarrow w \in \mathbb{C}.$$

Define for  $z \in \Omega$

$$G(z) = w + \int_{[z_0, z]} g(s) ds.$$

$\Omega$  is convex!!!

then  $G$  is analytic on  $\Omega$  and  $G' = g$ .

And we have

$$|P_{n_k}(z) - G(z)| \leq |f_{n_k}(a) - w| + \int_{[a, z]} |f_{n_k}'(s) - g(s)| |ds|$$

$$\leq |f_{n_k}(a) - w| + R \sup_{s \in [a, z]} |f_{n_k}'(s) - g(s)|.$$

$\xrightarrow[k \rightarrow \infty]{} 0$ . uniformly in the compact.

that is  $\mathcal{F}$  is normal in every disk, hence  
is normal  $\square$

## Some examples

$$(1) \text{ Let } \mathcal{F} = \left\{ f_n(z) = \frac{nz^2 - (n+1)z + 1}{2(n+1)} \right\}.$$

Is  $\mathcal{F}$  normal in  $\mathbb{D}$ ?

Solution. Note that  $\lim_{n \rightarrow \infty} f_n(z) = \frac{z^2 - z}{2}, z \in \mathbb{D}$ .

We can show:

$$\rightarrow |f_n(z) - f(z)| < \varepsilon \quad \forall |z| \in K \subset \subset \mathbb{D} \quad (n \geq n_0).$$

$\rightarrow \mathcal{F}$  is normal, so that it suffices to show that  $\mathcal{F}$  is locally bounded.

$$\text{But } |f_n(z)| \leq \frac{n|z|^2 + (n+1)|z| + 1}{2(n+1)} \leq 1 \quad \forall z \in \mathbb{D}$$

( $\forall n \in \mathbb{N}$ ). Hence  $\mathcal{F}$  is a bounded family!

$$(2) \mathcal{F} = \left\{ f_n(z) = \frac{nz^{n+1}}{1-z^2}, z \in \mathbb{D} \right\}.$$

Does  $f_n \xrightarrow{K \subset \subset \mathbb{D}} ?$

$$|f_n(z)| \leq \frac{n|z|^{n+1}}{1-|z|^2} \leq \frac{nR^{n+1}}{1-R^2}$$

$$\lim_{n \rightarrow \infty} nR^{n+1} = \lim_{n \rightarrow \infty} n e^{(n+1)\log R} = \lim_{n \rightarrow \infty} \frac{n}{e^{-(n+1)\log R}}$$

$$= \lim_{n \rightarrow \infty} \frac{1}{(n+1)\log R e^{-(n+1)\log R}} = 0$$

But  $\lim_{z \rightarrow 1} f_n(z) = \infty!!!$   
Hence  $f_n \not\xrightarrow{K \subset \subset \mathbb{D}}$

(1)